



### Academic Information

Current Grade Level:  9th  10th  11th  12th      Expected Graduation Date: \_\_\_\_\_ Current GPA \_\_\_\_\_

Current High School: \_\_\_\_\_

High School Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Phone: \_\_\_\_\_

Name of your school counselor: \_\_\_\_\_

Standardized Test Scores:  PSAT \_\_\_\_\_  ACT (COMPOSITE) \_\_\_\_\_

Math courses completed and grades earned (A, B, C, D, F) for high school credit (*include Algebra 1 if taken in 8th grade*):

1. Name: \_\_\_\_\_ Letter grade: \_\_\_\_\_ Semester / Yr: \_\_\_\_\_

2. Name: \_\_\_\_\_ Letter grade: \_\_\_\_\_ Semester / Yr: \_\_\_\_\_

3. Name: \_\_\_\_\_ Letter grade: \_\_\_\_\_ Semester / Yr: \_\_\_\_\_

4. Name: \_\_\_\_\_ Letter grade: \_\_\_\_\_ Semester / Yr: \_\_\_\_\_

Educational plan after high school:  4-year college / university  2-year college  TCAT

Career Goals: \_\_\_\_\_

How did you become interested in this career? \_\_\_\_\_

### Academic Need

For each statement please check the box that best describe your situation. It is important to be honest when answering these questions.

- |   |                          |         |  |                          |                |
|---|--------------------------|---------|--|--------------------------|----------------|
| 1. My grade point average (GPA) is below 2.0.   | <input type="checkbox"/> | I agree |  | <input type="checkbox"/> | I do not agree |
| 2. I have low achievement test scores.  | <input type="checkbox"/> | I agree |  | <input type="checkbox"/> | I do not agree |
| 3. I wish to seek higher education after high school.                                       | <input type="checkbox"/> | I agree |  | <input type="checkbox"/> | I do not agree |
| 4. I have the opportunity to take challenging college preparatory classes in high school.   | <input type="checkbox"/> | I agree |  | <input type="checkbox"/> | I do not agree |
| 5. Teachers and/or school counselors encourage me to take challenging college prep courses. | <input type="checkbox"/> | I agree |  | <input type="checkbox"/> | I do not agree |
| 6. My parent(s) encourage me to take challenging college prep courses.                      | <input type="checkbox"/> | I agree |  | <input type="checkbox"/> | I do not agree |
| 7. I need information regarding careers in order to determine my future goals.              | <input type="checkbox"/> | I agree |  | <input type="checkbox"/> | I do not agree |
| 8. I have limited proficiency in the English language.                                      | <input type="checkbox"/> | I agree |  | <input type="checkbox"/> | I do not agree |
| 9. I have the confidence, self-esteem, and social skills to be successful.                  | <input type="checkbox"/> | I agree |  | <input type="checkbox"/> | I do not agree |
| 10. Most of the people living in my community are from low-income families.                 | <input type="checkbox"/> | I agree |  | <input type="checkbox"/> | I do not agree |
| 11. I live in an extremely rural part of the state.   | <input type="checkbox"/> | I agree |  | <input type="checkbox"/> | I do not agree |
| 12. I need to learn how to ask better and/or clearer questions in class.                    | <input type="checkbox"/> | I agree |  | <input type="checkbox"/> | I do not agree |
| 13. I need to learn better note-taking strategies.  | <input type="checkbox"/> | I agree |  | <input type="checkbox"/> | I do not agree |
| 14. I need information on listening more effectively.                                       | <input type="checkbox"/> | I agree |  | <input type="checkbox"/> | I do not agree |
| 15. I feel comfortable in new situations.   | <input type="checkbox"/> | I agree |  | <input type="checkbox"/> | I do not agree |
| 16. I want to learn about the wise use of loans, credit, and money.                         | <input type="checkbox"/> | I agree |  | <input type="checkbox"/> | I do not agree |
| 17. I speak very well in front of a group of people.  | <input type="checkbox"/> | I agree |  | <input type="checkbox"/> | I do not agree |
| 18. I need help with the ACT test.  | <input type="checkbox"/> | I agree |  | <input type="checkbox"/> | I do not agree |
| 19. I want to learn how to recognize my strengths and weaknesses.                           | <input type="checkbox"/> | I agree |  | <input type="checkbox"/> | I do not agree |
| 20. I want to learn to set goals and make plans to reach them.                              | <input type="checkbox"/> | I agree |  | <input type="checkbox"/> | I do not agree |
| 21. I do not know how to interact well with teachers.                                       | <input type="checkbox"/> | I agree |  | <input type="checkbox"/> | I do not agree |
| 22. I need to learn problem-solving techniques.   | <input type="checkbox"/> | I agree |  | <input type="checkbox"/> | I do not agree |
| 23. I need to learn how to express myself more effectively.                                 | <input type="checkbox"/> | I agree |  | <input type="checkbox"/> | I do not agree |

### Self-Report Questionnaire

1. How did you find out about UTK's Upward Bound Program? \_\_\_\_\_

Read each question below carefully. Check the box that you believe is most true for you and/or complete the statements below.

2. Going to college after high school:
- is something I am determined to do
  - is something I've seriously considered
  - is something that occasionally crosses my mind
  - is something that I am NOT interested in

8. Dropping out of high school is:
- something I've seriously considered
  - something I've occasionally considered
  - something I would never consider

3. I plan to complete the following educational level:
- High School Diploma
  - Military
  - Technical college or certificate program
  - Associate's Degree (2-year degree)
  - Bachelor's Degree (4-year degree)
  - Graduate/Professional degree (Master's, Ph.D., etc.)

9. Describe your attendance record for your high school career:
- Missed fewer than 3 days per year
  - Missed fewer than 5 days per year
  - Missed fewer than 7 days per year
  - Missed 9 or fewer days per year
  - Missed 10 or more days per year
- Explain why you missed more than a week of school:
- \_\_\_\_\_

4. Three careers I have considered pursuing are:
- a. \_\_\_\_\_
  - b. \_\_\_\_\_
  - c. \_\_\_\_\_

10. Three things I am proud of are:
- a. \_\_\_\_\_
  - b. \_\_\_\_\_
  - c. \_\_\_\_\_

5. My favorite classes in high school are:
- a. \_\_\_\_\_
  - b. \_\_\_\_\_
  - c. \_\_\_\_\_

11. Three goals I have for myself are:
- a. \_\_\_\_\_
  - b. \_\_\_\_\_
  - c. \_\_\_\_\_

6. My least favorite classes in high school are:
- a. \_\_\_\_\_
  - b. \_\_\_\_\_
  - c. \_\_\_\_\_

12. Describe your vision of your life 10 years from now:
- \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_

7. Please check the academic areas in which you excel:
- |  |  |
|--|--|
| <input type="checkbox"/> Language Arts | <input type="checkbox"/> Writing         |
| <input type="checkbox"/> Math          | <input type="checkbox"/> Science         |
| <input type="checkbox"/> Computers     | <input type="checkbox"/> Art/Drama       |
| <input type="checkbox"/> History       | <input type="checkbox"/> Social Sciences |
| <input type="checkbox"/> Other _____   |  |

13. Which of the following would be the most likely reason that you would not achieve your educational goals? (select all that may apply)
- |  |   |
|--|---|
| <input type="checkbox"/> Lack of financial resources                 | <input type="checkbox"/> Failing grades         |
| <input type="checkbox"/> Lack of motivation                          | <input type="checkbox"/> Marriage               |
| <input type="checkbox"/> Lack of interest in school                  | <input type="checkbox"/> Entrance into military |
| <input type="checkbox"/> Low standardized test scores                |   |
| <input type="checkbox"/> Acceptance of a job/entrance into workforce |   |
| <input type="checkbox"/> Other: _____                                |   |

14. If you are selected to be a participant in UTK's Upward Bound program, will you be able and willing to: 1) attend Saturday sessions; 2) participate in all online activities; 3) take required tutoring if necessary; 4) attend at least one 6-week summer program with 2-4 weeks residential; and 5) arrange your schedule around program activities?

Please select one answer:  Yes       No       Maybe       I don't know

Please explain your answer: \_\_\_\_\_

### Student Interest Survey

What would you most like to receive from UTK's Upward Bound Program? Please check all boxes that apply to you.

- |   |  |  |   |
|---|--|--|---|
| <input type="checkbox"/> Math tutoring              | <input type="checkbox"/> ACT / SAT Prep        | <input type="checkbox"/> College visits                          | <input type="checkbox"/> Study skills instruction           |
| <input type="checkbox"/> Science tutoring           | <input type="checkbox"/> Social advisement     | <input type="checkbox"/> Cultural activities                     | <input type="checkbox"/> Public speaking                    |
| <input type="checkbox"/> English tutoring           | <input type="checkbox"/> Personal advisement   | <input type="checkbox"/> Community service                       | <input type="checkbox"/> Test-taking skills                 |
| <input type="checkbox"/> Social studies tutoring    | <input type="checkbox"/> Time management       | <input type="checkbox"/> Career exploration                      | <input type="checkbox"/> Post-secondary/college information |
| <input type="checkbox"/> World language tutoring    | <input type="checkbox"/> Help improving grades | <input type="checkbox"/> Financial literacy                      | <input type="checkbox"/> Interacting with teachers          |
| <input type="checkbox"/> Tutoring in other subjects | <input type="checkbox"/> Listening skills      | <input type="checkbox"/> Financial aid / scholarship information |   |
|   | <input type="checkbox"/> Other: _____          |  |   |

List your extracurricular activities and any honors and awards you have received (include dates). Attach an additional sheet if necessary.

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### Application Materials Checklist

**In addition to this application, you must submit the following materials.**

- If you are not a U.S. citizen, you MUST submit one of the following:
  - Official documentation that you are a permanent resident of the United States, **OR**
  - Official documentation that you are in the United States for other than a temporary purpose, and evidence from the Immigration and Naturalization Service of your intent to become a permanent resident
- Copy of your parent's or guardian's complete **1040, 1040A, or 1040EZ** income tax form, and /or the Confidential Financial Statement completed in its entirety and signed by a parent / guardian.
- Signed **Teacher Recommendation** form(s) (for MSC, one from a math teacher, and one from a science teacher)
- Signed **Counselor Recommendation** form from your High School Counselor
- Signed **School Release** form (attached to this application)
- Completed application essay (PCUB and MSC only)

You may give your completed application materials to your high school Upward Bound Educational Specialist, your high school counselor, or you may send them directly to the UTK Upward Bound Office at the following address:

**CAPS OUTREACH CENTER**  
 The University of Tennessee  
 Upward Bound Programs  
 1914 Andy Holt Avenue, 25 HPER Bldg.  
 Knoxville, TN 37996

Additional information can be found on the web at:  
<http://caps.utk.edu>  
 or by calling (865) 974-4466

Signature of student \_\_\_\_\_ Date: \_\_\_\_\_

Office Use Only (check all that apply): LI \_\_\_\_ FG \_\_\_\_ AR \_\_\_\_ Does Not Qualify \_\_\_\_



# School Counselor Recommendation Form

TRiO • The University of Tennessee • CAPS Outreach Center • Academic Enrichment Upward Bound

*Please Print*

Name of student: \_\_\_\_\_ Current Grade Level: \_\_\_\_\_

Name of counselor: \_\_\_\_\_ School: \_\_\_\_\_

Please check the appropriate statement concerning the above-named student who is applying to the University of Tennessee's Academic Enrichment Upward Bound (AEUB) Program at CAPS Outreach Center.

- I recommend this student without reservation for participation in the University of Tennessee's Academic Enrichment Upward Bound Program.
- I recommend this student with reservation(s) for participation in the University of Tennessee's Academic Enrichment Upward Bound Program. (Please explain reservations below.)
- I do not recommend this student for participation in the University of Tennessee's Academic Enrichment Upward Bound Program. (Please explain reasons below.)

### Student's performance on standardized tests:

State Reading/Language Arts       Advanced       Proficient       Below Proficient

State Math       Advanced       Proficient       Below Proficient

Based on my professional judgment, this student would benefit most from the following services:  
(Please rate 1-6 in order of importance, where 1 = greatest need)

_____ Academic instruction/tutoring	_____ Counseling (financial aid, educational, career, etc.)
_____ Cultural Activities	_____ On-campus summer experience
_____ College visits / field trips	_____ Other (please specify below)

Additional Comments and/or explanation of answers above:

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\_\_\_\_\_ Counselor's signature      \_\_\_\_\_ Title/Position      \_\_\_\_\_ Date



# Teacher Recommendation Form

TRiO • The University of Tennessee • CAPS Outreach Center • Academic Enrichment Upward Bound

*Please Print*

Name of student: \_\_\_\_\_

Current Grade Level: \_\_\_\_\_

Name of teacher: \_\_\_\_\_

School: \_\_\_\_\_

Please check the appropriate statement concerning the above-named student who is applying to the University of Tennessee's Academic Enrichment Upward Bound (AEUB) Program at CAPS Outreach Center.

I recommend this student without reservation for participation in the University of Tennessee's Academic Enrichment Upward Bound Program.

I recommend this student with reservation(s) for participation in the University of Tennessee's Academic Enrichment Upward Bound Program. (Please explain reservations below.)

I do not recommend this student for participation in the University of Tennessee's Academic Enrichment Upward Bound Program. (Please explain reasons below.)

Based on my professional judgement, this student would benefit most from the following services:

(Please rate 1-6 in order of importance, where 1 = greatest need)

\_\_\_\_\_ Academic instruction/tutoring

\_\_\_\_\_ Counseling (financial aid, educational, career, etc.)

\_\_\_\_\_ Cultural Activities

\_\_\_\_\_ On-campus summer experience

\_\_\_\_\_ College visits / field trips

\_\_\_\_\_ Other (please specify below)

Additional comments or explanations of answers above:

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\_\_\_\_\_  
Teacher's signature

\_\_\_\_\_  
Subject taught to this student

\_\_\_\_\_  
Date



# Confidential Financial Statement

## Academic Enrichment Upward Bound

*Please Print*

Note: This information will help the Academic Enrichment Upward Bound Educational Specialist to advise program participation eligibility for financial aid (scholarships, loans, and grants) as well as to determine the applicant's eligibility. Please answer all questions. All answers will be kept strictly confidential.

Applicant / Student: \_\_\_\_\_  
Last First M.I.

Applicant lives with:  One parent/guardian  Two parents/guardians  Other \_\_\_\_\_

Name of Head of Household: \_\_\_\_\_  
Last First M.I.

Relationship to applicant:  Father  Mother  Stepfather  Stepmother  
 Grandfather  Grandmother  Other: \_\_\_\_\_

Address of head of household: \_\_\_\_\_  
(if different from applicant) Street City Zip Code

Does applicant (student) have a job:  No  Yes, please list employer \_\_\_\_\_

How many people are in your household? (Fill in blanks with numbers. If none, write "0")

Applicant / Student     1      
 Parent(s)/Guardian(s) \_\_\_\_\_  
 Siblings \_\_\_\_\_  
 Other dependents \_\_\_\_\_  
**TOTAL:**

Please specify "other dependents:"	

Please indicate tax return filing status of Head of Household: (check one)

- Married, filing jointly       Single, head of household  
 Married, filing separately       Did not file taxes

**INCOME:**

(1) Adjusted gross income for the last tax year was: \$  (if filing jointly, please include both incomes)

**Do not include income from any of the categories below:**

(2) Social Security	\$ _____	Monthly amount	Unemployment	\$ _____	Monthly amount
TANF/SNAP	\$ _____	Monthly amount	Disability	\$ _____	Monthly amount
SSI	\$ _____	Monthly amount	Retirement	\$ _____	Monthly amount

Additional comments concerning your financial situation (please use back of page if needed):

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I certify that the above information (including income data) is correct to the best of my knowledge. I also understand that all information on this form will be held in the strictest of confidence.

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Head of Household Signature

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Date

OFFICE USE ONLY		
1		\$18,735
2		\$25,365
3		\$31,995
4		\$38,625
5		\$45,255
6		\$51,885
7		\$58,515
8		\$65,145



# School Release Form (File Copy)

## Academic Enrichment Upward Bound

*Please Print*

As required by the U.S. Department of Education, the grant funding source, participants' success is tracked throughout their academic careers. By signing below, you confirm you and your student's commitment to the program should he or she be accepted.

We, \_\_\_\_\_ and \_\_\_\_\_,  
*(please print student's full name)* *(please print parent's first and last name)*  
hereby give our permission for \_\_\_\_\_ to release all needed educational records,  
*(print school name)*

including transcripts, period grades, ACT, PSAT, and other standardized test scores, etc., to the Academic Enrichment Upward Bound program for application to the program, and as requested from this point forward.

We also hereby give our permission for information regarding future enrollment, college financial aid packages, and future transcripts of all college-level work to be released to Academic Enrichment Upward Bound, 25 HPER Building, 1914 Andy Holt Avenue, Knoxville, TN, 37996.

Student's Social Security Number

**TRiO**

UPWARD BOUND

UT-CAPS AEUB Form 112, 2019

Student's Signature

Date

Parent's Signature

Date

# School Release Form (School Copy)

## Academic Enrichment Upward Bound

*Please Print*

As required by the U.S. Department of Education, the grant funding source, participants' success is tracked throughout their academic careers. By signing below, you confirm you and your student's commitment to the program should he or she be accepted.

We, \_\_\_\_\_ and \_\_\_\_\_,  
*(please print student's full name)* *(please print parent's first and last name)*  
hereby give our permission for \_\_\_\_\_ to release all needed educational records,  
*(print school name)*

including transcripts, period grades, ACT, PSAT, and other standardized test scores, etc., to the Academic Enrichment Upward Bound program for application to the program, and as requested from this point forward.

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Student's Social Security Number

**TRiO**

UPWARD BOUND

UT-CAPS AEUB Form 112, 2019

Student's Signature

Date

Parent's Signature

Date